New York State Performance Plan Indicator 13

Annual Compliance Review

Every year, the DOE must undergo a New York State Education Department (NYSED) compliance review of 100 randomly selected and current Individualized Educational Programs (IEPs) for students age 15 and older, to fulfill NYSED’s Performance Plan Indicator 13: Transition Planning and Services in the IEP. Indicator 13 requires that IEPs for students aged 15 and older must include current information in the sections of the IEP related to Transition planning and services. The 100 students whose IEPs will be reviewed by NYSED to determine compliance with Indicator 13 regulatory requirements have been identified, and the DBN of their schools have been confirmed.

Your district administrator of special education (ASE) will contact you if a student(s) registered to your school is included in this year’s student sample, and offer technical assistance on IEP development that includes regulatory requirements for Transition planning and services. Note that all IEPs are due to NYSED by November 30.

As a reminder, your IEP teams should continue to hold IEP Annual Reviews, Requested Re-Evaluations, or Mandated 3-Year Re-Evaluations for students aged 15 and older, with IEPs in effect during the 2023–24 school year and ensure that the resulting IEPs contain all the information outlined in Attachment 3 of the NYSED Indicator 13 Monitoring Protocol.

Upon completion of NYSED’s compliance review of the 100 IEPs provided to them, a Corrective Action Plan (CAP) will be issued in the spring of 2024 for all students whose IEPs are in need of reaching compliance with Indicator 13. If a student(s) from your school is named in that CAP, you will be informed by your ASE.

For questions, contact your ASE (DOE login required).